

74 CO
74-23-01, ✓ 0500
54.20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

John J. Harrington, et al.

Art Unit: Not Assigned

Serial No.: 09/760,897

Examiner: Not Assigned

Filed: January 17, 2001

Atty. Docket: 0221-0003O(C)

For: Compositions and Methods for Non-Targeted
Activation of Endogenous Genes

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, Applicant herewith respectfully
requests the following amendments:

IN THE SPECIFICATION:

Please replace the first paragraph before "Field of the Invention" with the following:

CROSS REFERENCE TO RELATED APPLICATIONS

This is a continuation of U.S. Serial No. 09/515,124, filed February 27, 2000, entitled
"Compositions and Methods for Non-Targeted Activation of Endogenous Genes," naming
John J. Harrington, Bruce Sherf, and Stephen Rundlett as inventors, which is a divisional
application of U.S. Application No. 09/276,820, filed March 26, 1999, which is a continuation-
in-part of U.S. Application No. 09/263,814, filed March 8, 1999, which is a continuation-in-part
of U.S. Application No. 09/253,022, filed February 19, 1999, which is a continuation-in-part of
U.S. Application No. 09/159,643, filed September 24, 1998, which is a continuation-in-part of
U.S. Application No. 08/941,223, filed September 26, 1997, the disclosures of all of which are
incorporated herein by reference in their entireties.

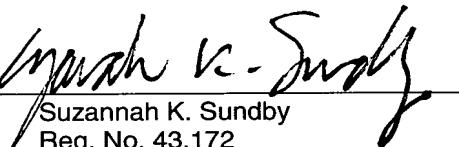
REMARKS

It is respectfully requested that the Examiner enter these amendments prior to examining the application on its merits.

Respectfully submitted,

SHANKS & HERBERT

By:



Suzannah K. Sundby
Reg. No. 43,172

Date: 3-27-01

TransPotomac Plaza
1033 N. Fairfax Street
Suite 306
Alexandria, VA 22314
(703) 683-3600